

## Annexure - I

Format of holding of specified securities

- 1 Name of Listed Entity : **BIRLA CORPORATION LIMITED**
- 2 Scrip Code/ Name of Scrip/ Class of Security **NSE : BIRLACORPN BSE: 500335/ EQUITY SHARE (EQ)**
- 3 Share Holding Pattern Filed under: Reg. 31(1)(b)
- a. Report for the Quarter ending **31.12.2020**
- 4 **Declaration:** The listed entity is required to submit the following declaration to the extent of submission of information :-

|   | Particulars   | Yes | No |
|---|---|-----|----|
| 1 | Whether the Listed Entity has issued any partly paid up Shares ?                      | -   | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities or Warrants ?         | -   | No |
| 3 | Whether the Listed Entity has any shares against which depository receipts are issued | -   | No |
| 4 | Whether the Listed Entity has any shares in locked-in?                                | -   | No |
| 5 | Whether any shares held by promoters are pledge or otherwise encumbered?              | -   | No |
| 6 | Whether the Listed Entity has issued any differential Voting Rights?                  | -   | No |
| 7 | Whether the Listed Entity has issued any Warrants ?                                   | -   | No |
| 8 | Whether the listed entity has any significant beneficial owner?                       | -   | No |

**Table I - Summary Statement holding of specified securities ( Equity Shares)**

| Category | Category of shareholder        | Nos. of shareholders | No. of fully paid up equity shares held | Total nos. shares held    | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) AS a % of (A+B+C2) | Number of Voting Rights held |                         | Shareholding, as a % assuming full conversion of convertible Securities( as a percentage of diluted share Capital) | Number of equity shares held in dematerialized form |
|----------|--------------------------------|----------------------|---|---------------------------|--|------------------------------|-------------------------|--|---|
|          |                                |                      |   |                           |  | No. of Voting Rights (Total) | Total as a % of (A+B+C) |  |   |
| (I)      | (II)                           | (III)                | (IV)                                    | (VII) = (IV) + (V) + (VI) | (VIII)   | (IX)                         |                         | (XI) = (VII) + (X) As a % of (A+B+C2)  | (XIV)   |
| ( A )    | Promoter & Promoter Group      | 32                   | 48434191                                | 48434191                  | 62.90  | 48434191                     | 62.90                   | 62.90  | 48432931  |
| ( B )    | Public                         | 68718                | 28571156                                | 28571156                  | 37.10  | 28564252                     | 37.10                   | 37.10  | 28256238  |
| ( C )    | Non Promoter- Non Public       | 0                    | 0                                       | 0                         | 0.00   | 0                            | 0.00                    | 0.00   | 0   |
| ( C1 )   | Shares underlying DRs          | 0                    | 0                                       | 0                         | 0.00   | 0                            | 0.00                    | 0.00   | 0   |
| ( C2 )   | Shares held by Employee Trusts | 0                    | 0                                       | 0                         | 0.00   | 0                            | 0.00                    | 0.00   | 0   |
|          | Total                          | 68750                | 77005347                                | 77005347                  | 100.00   | 76998443                     | 100.00                  | 100.00   | 76689169  |

- Notes**
1. Difference of shares in column No. (IX) [ Voting Rights] with the total share capital is due to 6904 Shares held under Unclaimed Share Suspense A/c.
  2. 1260 shares (only 0.002% of the promoters' shareholding) are in physical form held by the Administrators pendente lite over the Estate of Smt. Priyamvada Devi Birla, deceased as per the directive of the High Court, which is miniscule looking at the total size of the promoters' shareholding.
  3. In Table III Item No. 3 (e) (v) Voting Rights of 230735 shares held under the Investor Education and Protection Fund are frozen .

**Table II - Statement showing shareholding Pattern of the Promoter and Promoter Group**

|                | Category & Name of the Shareholders   | PAN  | Nos. of share holders | No. of fully paid up equity shares held | Total nos. shares held    | Shareholding % calculated as per SCRR, 1957 AS a % of (A+B+C2) | Number of Voting Rights held |                              | Shareholding, as a % assuming full conversion of convertible Securities (as a percentage of diluted share Capital) | Number of equity shares held in dematerialized form |
|----------------|---|------|-----------------------|---|---------------------------|--|------------------------------|------------------------------|--|---|
|                |   |      |                       |   |                           |  | No. of Voting Rights (Total) | Total as a % of Total Voting |  |   |
|                | (I)   | (II) | (III)                 | (IV)                                    | (VII) = (IV) + (V) + (VI) | (VIII)   | (IX)                         |                              | (XI) = (VII) + (X) As a % of (A+B+C2)  | (XIV)   |
| <b>(1)</b>     | <b>Indian</b>   |      |                       |   |                           |  |                              |                              |  |   |
| <b>(a)</b>     | Individuals/ Hindu undivided Family   |      | 1                     | 1260                                    | 1260                      | 0.00   | 1260                         | 0.00                         | 0.00   | 0   |
|                | Estate of Late Smt Priyamvada Devi Birla represented by Justice Mohit Shantilal Shah, Shri Mahendra Kumar Sharma and Shri Amal Chandra Chakrabortti in their capacity as Administrators pendente lite |      | 1                     | 1260                                    | 1260                      | 0.00   | 1260                         | 0.00                         | 0.00   | 0   |
| <b>(b)</b>     | <b>Central Government/ State Government(s)</b>  |      | 0                     | 0                                       | 0                         | 0.00   | 0                            | 0.00                         | 0.00   | 0   |
| <b>(c)</b>     | <b>Financial Institutions/ Banks</b>  |      | 0                     | 0                                       | 0                         | 0.00   | 0                            | 0.00                         | 0.00   | 0   |
| <b>(d) (i)</b> | <b>Bodies Corporate</b>   |      | 15                    | 37379183                                | 37379183                  | 48.54  | 37379183                     | 48.55                        | 48.54  | 37379183  |
| 1              | August Agents Ltd.  |      | 1                     | 6015912                                 | 6015912                   | 7.81   | 6015912                      | 7.81                         | 7.81   | 6015912   |
| 2              | Baroda Agents & Trading Co. Pvt. Ltd  |      | 1                     | 914355                                  | 914355                    | 1.19   | 914355                       | 1.19                         | 1.19   | 914355  |
| 3              | Birla Cable Limited   |      | 1                     | 280                                     | 280                       | 0.00   | 280                          | 0.00                         | 0.00   | 280   |
| 4              | Birla Financial Corporation Ltd.  |      | 1                     | 280                                     | 280                       | 0.00   | 280                          | 0.00                         | 0.00   | 280   |
| 5              | East India Investment Co. Pvt. Ltd  |      | 1                     | 73475                                   | 73475                     | 0.10   | 73475                        | 0.10                         | 0.10   | 73475   |
| 6              | Express Dairy Company Limited   |      | 1                     | 280                                     | 280                       | 0.00   | 280                          | 0.00                         | 0.00   | 280   |
| 7              | Gwalior Webbing Co. Pvt. Ltd.   |      | 1                     | 1775200                                 | 1775200                   | 2.31   | 1775200                      | 2.31                         | 2.31   | 1775200   |
| 8              | Hindustan Gum & Chemicals Ltd.  |      | 1                     | 270000                                  | 270000                    | 0.35   | 270000                       | 0.35                         | 0.35   | 270000  |
| 9              | Insilco Agents Ltd.   |      | 1                     | 6004080                                 | 6004080                   | 7.80   | 6004080                      | 7.80                         | 7.80   | 6004080   |
| 10             | Laneseda Agents Ltd.  |      | 1                     | 5994680                                 | 5994680                   | 7.78   | 5994680                      | 7.79                         | 7.78   | 5994680   |

[illegible]

Table III - Statement showing shareholding Pattern of the Public shareholder

|            | Category & Name of the Shareholders  | PAN  | Nos. of shareholder | Nos. of fully paid up equity share held | Total nos. shares held    | Shareholding % calculated as per SCRR, 1957 AS a % of (A+B+C2) | Number of Voting Rights held |                                     | Shareholding, as a % assuming full conversion of convertible Securities ( as a percentage of diluted share Capital) | Number of equity shares held in dematerialized form |
|------------|--|------|---------------------|---|---------------------------|--|------------------------------|-------------------------------------|---|---|
|            |  |      |                     |   |                           |  | No. of Voting Rights (Total) | Total as a % of Total Voting rights |   |   |
|            | (I)  | (II) | (III)               | (IV)                                    | (VII) = (IV) + (V) + (VI) | (VIII)   | (IX)                         |                                     | (XI) = (VII) + (X)<br>As a % of (A+B+C2)  | (XIV)   |
| <b>(1)</b> | <b>Institutions</b>  |      |                     |   |                           |  |                              |                                     |   |   |
| (a)        | Mutual Funds   |      | 49                  | 8131204                                 | 8131204                   | 10.56  | 8131204                      | 10.56                               | 10.56   | 8129064   |
|            | NIPPON LIFE INDIA TRUSTEE LTD (Shares held in their various Schemes)               |      | 6                   | 3854824                                 | 3854824                   | 5.01   | 3854824                      | 5.01                                | 5.01  | 3854824   |
|            | L AND T MUTUAL FUND TRUSTEE LTD (Shares held in their various Schemes )            |      | 3                   | 1128876                                 | 1128876                   | 1.47   | 1128876                      | 1.47                                | 1.47  | 1128876   |
|            | INVESCO TRUSTEE PRIVATE LIMITED (Shares held in their various Schemes )            |      | 6                   | 778028                                  | 778028                    | 1.01   | 778028                       | 1.01                                | 1.01  | 778028  |
| (b)        | Venture Capital Funds  |      | 0                   | 0                                       | 0                         | 0.00   | 0                            | 0.00                                | 0.00  | 0   |
| (c)        | Alternate Investment Funds   |      | 0                   | 0                                       | 0                         | 0.00   | 0                            | 0.00                                | 0.00  | 0   |
| (d)        | Foreign Venture Capital Investors  |      | 0                   | 0                                       | 0                         | 0.00   | 0                            | 0.00                                | 0.00  | 0   |
| (e)        | Foreign Portfolio Investors  |      | 100                 | 2928046                                 | 2928046                   | 3.80   | 2928046                      | 3.80                                | 3.80  | 2928046   |
| (f)        | Financial Institutions/ Banks  |      | 17                  | 22150                                   | 22150                     | 0.03   | 22150                        | 0.03                                | 0.03  | 6850  |
| (g)        | Insurance Companies  |      | 9                   | 2307792                                 | 2307792                   | 3.00   | 2307792                      | 3.00                                | 3.00  | 2307392   |
| (h)        | Provident Funds/ Pension Funds   |      | 0                   | 0                                       | 0                         | 0.00   | 0                            | 0.00                                | 0.00  | 0   |
| (i)        | Any Other (Specify)  |      |                     |   |                           |  |                              |                                     |   |   |
|            | <b>Sub-Total (B) (1)</b>   |      | <b>175</b>          | <b>13389192</b>                         | <b>13389192</b>           | <b>17.39</b>   | <b>13389192</b>              | <b>17.39</b>                        | <b>17.39</b>  | <b>13371352</b>                                     |
| <b>(2)</b> | <b>Central Government/ State Government(s)/ President of India</b>                 |      | 0                   | 0                                       | 0                         | 0.00   | 0                            | 0.00                                | 0.00  | 0   |
|            | <b>Sub-Total (B) (2)</b>   |      | <b>0</b>            | <b>0</b>                                | <b>0</b>                  | <b>0.00</b>  | <b>0</b>                     | <b>0.00</b>                         | <b>0.00</b>   | <b>0</b>  |
| <b>(3)</b> | <b>Non-institutions</b>  |      |                     |   |                           |  |                              |                                     |   |   |
| (a)        | Individuals -  |      |                     |   |                           |  |                              |                                     |   |   |
|            | i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs         |      | 64763               | 8261741                                 | 8261741                   | 10.73  | 8261741                      | 10.73                               | 10.73   | 7972178   |
|            | ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs |      | 31                  | 3346006                                 | 3346006                   | 4.35   | 3346006                      | 4.35                                | 4.35  | 3346006   |

|   | (I)  | (II) | (III)        | (IV)            | (VII) = (IV)<br>+(V)+(VI) | (VIII)       | (IX)            | (XI) =(VII)+(X)<br>As a %<br>of(A+B+C2) | (XIV)           |
|---|--|------|--------------|-----------------|---------------------------|--------------|-----------------|---|-----------------|
|   | Sachin Bansal  |      | 1            | 795000          | 795000                    | 1.03         | 795000          | 1.03                                    | 795000          |
| (b)   | NBFCs registered with RBI                                  |      | 3            | 11100           | 11100                     | 0.01         | 11100           | 0.01                                    | 11100           |
| (c)   | Employee Trusts  |      | 0            | 0               | 0                         | 0.00         | 0               | 0.00                                    | 0               |
| (d)   | Overseas Depositories (Holding DRs)                        |      | 0            | 0               | 0                         | 0.00         | 0               | 0.00                                    | 0               |
| (e)   | Any Other (Specify)  |      |              |                 |                           |              |                 |   |                 |
| (e) (i)   | Other Body Corporate                                       |      | 1154         | 2116994         | 2116994                   | 2.75         | 2116994         | 2.75                                    | 2114193         |
| (e) (ii)  | Trust, Foundations   |      | 10           | 653808          | 653808                    | 0.85         | 653808          | 0.85                                    | 653808          |
| (e) (iii)   | Unclaimed Share Suspense A/c.                              |      | 1            | 6904            | 6904                      | 0.01         |                 | 0.01                                    | 6904            |
| (e) (iv)  | Societies  |      | 0            | 0               | 0                         | 0.00         | 0               | 0.00                                    | 0               |
| (e) (v)   | Investor Education and Protection Fund                     |      | 1            | 230735          | 230735                    | 0.30         | 230735          | 0.30                                    | 230735          |
| (e) (vi.)   | Non Resident Individuals                                   |      | 2580         | 554676          | 554676                    | 0.72         | 554676          | 0.72                                    | 549962          |
|   | <b>Sub-Total (B) (3)</b>                                   |      | <b>68543</b> | <b>15181964</b> | <b>15181964</b>           | <b>19.72</b> | <b>15175060</b> | <b>19.71</b>                            | <b>14884886</b> |
|   | <b>Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)</b> |      | <b>68718</b> | <b>28571156</b> | <b>28571156</b>           | <b>37.10</b> | <b>28564252</b> | <b>37.10</b>                            | <b>28256238</b> |
| <b>Details of Shares which remain unclaimed, lying in Demat Share Suspense A/c. and voting rights are frozen : - No. of Shareholders : 96* , No. of Shares : 6904</b> |  |      |              |                 |                           |              |                 |   |                 |

\* Shares held under one demat A/c. but numbers of beneficiary Shareholders are 96.

**Details of the Shareholders acting as persons in Concert including their Shareholding:**

| Details of the shareholders holding as per the list in concert including their shareholding. |  |               |           |
|--|--|---------------|-----------|
| Name of Shareholder  | Name of PAC  | No. of Shares | Holding % |
| NIPPON LIFE INDIA TRUSTEE LTD-A/C NIPPON INDIA SMALL CAP FUND                                | Nippon Life India Trustee Limited & Nippon Life India Asset Management Limited | 2855300       | 3.71      |
| NIPPONN LIFE INDIA TRUSTEE LTD-A/C NIPPON INDIA MULTI CAP FUND                               |  | 613217        | 0.80      |
| NIPPON LIFE INDIA TRUSTEE LTD-A/C NIPPON INDIA VALUE FUND                                    |  | 246854        | 0.32      |
| NIPPON LIFE INDIA TRUSTEE LTD-A/C NIPPON INDIA CAPITAL BUILDER FUND IV - SERIES C            |  | 106000        | 0.14      |
| NIPPON LIFE INDIA TRUSTEE LTD-A/C NIPPON INDIA CAPITAL BUILDER FUND IV - SERIES D            |  | 30000         | 0.04      |
| NIPPON LIFE INDIA TRUSTEE LTD-A/C NIPPON INDIA NIFTY SMALL CAP 250 INDEX FUND                |  | 3453          | 0.00      |
| Total :  |  | 3854824       | 5.01      |

**Table IV - Statement showing shareholding pattern of the Non Promoter-Non Public Shares - NIL**