## Format of holding of specifed securities

1 Name of Listed Entity : BIRLA CORPORATION LIMITED

2 Scrip Code/ Name of Scrip/ Class of Security NSE : BIRLACORPN BSE: 500335/ EQUITY SHARE (EQ)

3 Share Holding Pattern Filed under: Reg. 31(1)(b)

a. Report for the Quarter ending **30.06.2018** 

4 **Declaration**: The listed entity is required to submit the following declaration to the extent of submission of information:

|   | Particulars   | Yes* | No* |
|---|---|------|-----|
| 1 | Whether the Listed Entity has issued any partly paid up Shares ?                      | -    | No  |
| 2 | Whether the Listed Entity has issued any Convertible Securities or Warrants?          | -    | No  |
| 3 | Whether the Listed Entity has any shares against which depository receipts are issued | -    | No  |
| 4 | Whether the Listed Entity has any shares in locked-in?                                | -    | No  |
| 5 | Whether any shares held by promoters are pledge or otherwise encumbered ?             | -    | No  |

5 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities (Equity Shares)

| Category | Category of shareholder        | Nos. of      | No. of fully paid | Total nos. shares | Shareholding    | Number of \ | oting Rights | Shareholding, as a      | Number of      |
|----------|--------------------------------|--------------|-------------------|-------------------|-----------------|-------------|--------------|-------------------------|----------------|
|          |                                | shareholders | up equity shares  | held              | as a % of total | held        |              | % assuming full         | equity shares  |
|          |                                |              | held              |                   | no. of shares   |             |              |                         | held in        |
|          |                                |              |                   |                   | (calculated as  |             |              |                         | dematerialized |
|          |                                |              |                   |                   | per SCRR,       |             |              | ,                       | form           |
|          |                                |              |                   |                   | 1957) AS a %    |             | l=           | percentage of           |                |
|          |                                |              |                   |                   | of (A+B+C2)     | No. of      |              | diluted share           |                |
|          |                                |              |                   |                   |                 | Voting      | of (A+B+C)   | Capital)                |                |
|          |                                |              |                   |                   |                 | Rights      |              |                         |                |
|          |                                |              |                   |                   |                 | (Total)     |              |                         |                |
|          |                                |              |                   | (VII) = (IV)      |                 |             |              | (XI) = (VII) + (X) As a |                |
| (1)      | (II)                           | (III)        | (IV)              | +(V)+(VI)         | (VIII)          | (1          | X)           | % of(A+B+C2)            | (XIV)          |
| ( A )    | Promoter & Promoter Group      | 36           | 48434191          | 48434191          | 62.90           | 48434191    | 62.92        | 62.90                   | 48427671       |
| (B)      | Public                         | 44384        | 28571156          | 28571156          | 37.10           | 28539686    | 37.08        | 37.10                   | 28156412       |
| (C)      | Non Promoter- Non Public       | 0            | 0                 | 0                 | 0.00            | 0           | 0.00         | 0.00                    | 0              |
| (C1)     | Shares underlying DRs          | 0            | 0                 | 0                 | 0.00            | 0           | 0.00         | 0.00                    | 0              |
| ( C2 )   | Shares held by Employee Trusts | 0            | 0                 | 0                 | 0.00            | 0           | 0.00         | 0.00                    | 0              |
|          | Total                          | 44420        | 77005347          | 77005347          | 100.00          | 76973877    | 100.00       | 100.00                  | 76584083       |

- Notes 1. Difference of shares in column No. (IX) [ Voting Rights] with the total share capital is due to 31470 Shares held under Unclaimed Share Suspense A/c.
  - 2. 6520 shares are held in physical form which includes shares held by the Directors jointly with the promoters as qualification shares, shares held by the Administrators pendente lite over the Estate of Smt. Priyamvada Devi Birla, deceased as per the directive of the High Court, amongst others. Only 0.01% of the promoters' shareholding is in physical form, which is miniscule looking at the total size of the promoters' shareholding.
  - In Table III Item No. 3 (e) (iv) Voting Rights of 163451 shares held under the Investor Education and Protection Fund are frozen.

Table II - Statement showing shareholding Pattern of the Promoter and Promoter Group

|            | Category & Name of the Shareholders   | PAN             | Nos. of<br>shareh<br>olders | No. of fully<br>paid up<br>equity<br>shares held | Total nos.<br>shares held | Shareholdi<br>ng %<br>calculated<br>as per<br>SCRR, 1957<br>AS a % of<br>(A+B+C2) | Rights held                           |                                       | Rights held                          |                    | Shareholding, as<br>a % assuming<br>full conversion<br>of convertible<br>Securities( as a<br>percentage of<br>diluted share | Number of<br>equity shares<br>held in<br>dematerialized<br>form |
|------------|---|-----------------|-----------------------------|--|---------------------------|---|---------------------------------------|---------------------------------------|--------------------------------------|--------------------|---|---|
|            |   |                 |                             |  |                           |   | No. of<br>Voting<br>Rights<br>(Total) | Total as<br>a % of<br>Total<br>Voting | Capital)                             |                    |   |   |
| (1)        | (1)   | (11)            | (111)                       | (IV)   | (VII) = (IV)<br>+(V)+(VI) | (VIII)  | (IX                                   | )                                     | (XI) =(VII)+(X) As<br>a % of(A+B+C2) | (XIV)              |   |   |
| (1)<br>(a) | Indian Individuals/ Hindu undivided Family  |                 | 1                           | 1260   | 1260                      | 0.00  | 1260                                  | 0.00                                  | 0.00                                 | 0                  |   |   |
| (e)        | Estate of Late Smt Priyamvada Devi Birla<br>represented by Justice Ajit Prakash<br>Shah, Shri Amal Chandra Chakrabortti<br>and Shri Mahendra Kumar Sharma in<br>their capacity as Administrators<br>pendente lite |                 | 1                           | 1260   | 1260                      | 0.00  | 1260                                  | 0.00                                  | 0.00                                 | 0                  |   |   |
| (b)        | Central Government/ State Government(s)   |                 | 0                           | 0  | 0                         | 0.00  | 0                                     | 0.00                                  | 0.00                                 | 0                  |   |   |
| (c)        | Financial Institutions/ Banks   |                 | 0                           | 0  | 0                         | 0.00  | 0                                     | 0.00                                  | 0.00                                 | 0                  |   |   |
| (d) (i)    | Bodies Corporate  |                 | 19                          | 37379183   | 37379183                  | 48.54   |                                       | 48.56                                 | 48.54                                | 37379183           |   |   |
| 2          | August Agents Ltd. Baroda Agents & Trading Co. Pvt. Ltd   |                 | 1                           | 6015912<br>914355                                | 6015912<br>914355         | 7.81<br>1.19  | 6015912<br>914355                     | 7.82<br>1.19                          | 7.81<br>1.19                         | 6015912<br>914355  |   |   |
| 3          | Birla Cable Limited   |                 | 1                           | 280  | 280                       | 0.00  | 280                                   | 0.00                                  | 0.00                                 | 280                |   |   |
| 4          | Birla Financial Corporation Ltd.  |                 | 1                           | 280  | 280                       | 0.00  | 280                                   | 0.00                                  | 0.00                                 | 280                |   |   |
| 5<br>6     | East India Investment Co. Pvt. Ltd<br>Express Dairy Company Limited   |                 | 1                           | 3183<br>280                                      | 3183<br>280               | 0.00  | 3183<br>280                           | 0.00                                  | 0.00                                 | 3183<br>280        |   |   |
| 7          | Gwalior Webbing Co. Pvt. Ltd.   |                 | 1                           | 1775200  | 1775200                   | 2.31  | 1775200                               | 2.31                                  | 2.31                                 | 1775200            |   |   |
| 8          | Hindustan Gum & Chemicals Ltd.  |                 | 1                           | 270000   | 270000                    | 0.35  | 270000                                | 0.35                                  | 0.35                                 | 270000             |   |   |
| 9<br>10    | Insilco Agents Ltd.   |                 | 1                           | 6004080  | 6004080<br>5994680        | 7.80<br>7.78  | 6004080<br>5994680                    | 7.80                                  | 7.80<br>7.78                         | 6004080<br>5994680 |   |   |
| 10         | Laneseda Agents Ltd. Mazbat Investment Pvt. Ltd.  |                 | 1                           | 5994680<br>30412                                 | 30412                     | 0.04  | 30412                                 | 0.04                                  | 0.04                                 | 30412              |   |   |
| 12         | Mazbat Properties Pvt. Ltd.   |                 | 1                           | 39600  | 39600                     | 0.05  | 39600                                 | 0.05                                  | 0.05                                 | 39600              |   |   |
| 13         | Mazbat Tea Estate Ltd.  |                 | 1                           | 1467689  | 1467689                   | 1.91  | 1467689                               | 1.91                                  | 1.91                                 | 1467689            |   |   |
| 14<br>15   | Punjab Produce Holdings Ltd. The Punjab Produce and Trading   |                 | 2                           | 3665407<br>4520572                               | 3665407<br>4520572        | 4.76<br>5.87  | 3665407<br>4520572                    | 4.76<br>5.87                          | 4.76<br>5.87                         | 3665407<br>4520572 |   |   |
| 16         | Company Private Ltd. Universal Cables Ltd.  |                 | 1                           | 296730   | 296730                    | 0.39  | 296730                                | 0.39                                  | 0.39                                 | 296730             |   |   |
| 17         | Varunendra Trading & Agents Pvt. Ltd.   |                 | 1                           | 280  | 280                       | 0.00  | 280                                   | 0.00                                  | 0.00                                 | 280                |   |   |
| 18         | Vindhya Telelinks Ltd.  |                 | 1                           | 6380243  | 6380243                   | 8.29  | 6380243                               | 8.29                                  | 8.29                                 | 6380243            |   |   |
| (d) (ii)   | Society Belle Vue Clinic  |                 | 16<br>1                     | 11053748<br>175148                               | 11053748                  | 14.35   | 11053748                              | 14.36                                 | 14.35                                | 11048488           |   |   |
| 2          | Eastern India Educational Institution   |                 | 1                           | 3361200  | 175148<br>3361200         | 0.23<br>4.36  | 175148<br>3361200                     | 0.23<br>4.37                          | 0.23<br>4.36                         | 175148<br>3361200  |   |   |
| 3          | Hindustan Medical Institution   |                 | 10                          | 7159460  | 7159460                   | 9.30  | 7159460                               | 9.30                                  | 9.30                                 | 7154200            |   |   |
| 4          | M.P. Birla Foundation Educational<br>Society  |                 | 1                           | 100100   | 100100                    | 0.13  | 100100                                | 0.13                                  | 0.13                                 | 100100             |   |   |
| 5          | M.P. Birla Institute of Fundamental<br>Research   |                 | 1                           | 100  | 100                       | 0.00  | 100                                   | 0.00                                  | 0.00                                 | 100                |   |   |
| 6          | Shreyas Medical Society   |                 | 1                           | 117740   | 117740                    | 0.15  | 117740                                | 0.15                                  | 0.15                                 | 117740             |   |   |
| 7          | South Point Foundation  |                 | 1                           | 140000   | 140000                    | 0.18  | 140000                                | 0.18                                  | 0.18                                 | 140000             |   |   |
| (2)        | Sub-Total (A) (1)<br>Foreign  |                 | 36                          | 48434191   | 48434191                  | 62.90   | 48434191                              | 62.92                                 | 62.90                                | 48427671           |   |   |
| (a)        | Individuals (Non-Resident Individuals/<br>Foreign Individuals)  |                 | 0                           | 0  | 0                         | 0.00  | 0                                     | 0.00                                  | 0.00                                 | 0                  |   |   |
| (b)        | Government  |                 | 0                           | 0  | 0                         | 0.00  | 0                                     | 0.00                                  | 0.00                                 | 0                  |   |   |
| ( c)       | Institutions  |                 | 0                           | 0  | 0                         | 0.00  | 0                                     | 0.00                                  | 0.00                                 | 0                  |   |   |
| (d)        | Foreign Portfolio Investors   |                 | 0                           | 0  | 0                         | 0.00  | 0                                     | 0.00                                  | 0.00                                 | 0                  |   |   |
| (f)        | Any Other (Specify)   |                 | 0                           | 0  | 0                         | 0.00  | 0                                     | 0.00                                  | 0.00                                 | 0                  |   |   |
|            | Sub-Total (A) (2)   |                 | 0                           | 0  | 0                         | 0.00  | 0                                     | 0.00                                  | 0.00                                 | 0                  |   |   |
|            | Total Shareholding of the Promoter and Promoter Group (A) = (A) (1) + (A)(2)  |                 | 36                          | 48434191   | 48434191                  | 62.90   | 48434191                              | 62.92                                 | 62.90                                | 48427671           |   |   |
| C          | Details of Shares which remain unclaimed  | , lying in Dema | t Share S                   | uspense A/c.                                     | and voting righ           | ts are frozen   | :- No. of Sh                          | areholdei                             | rs : NIL, No. of Shar                | es : NIL           |   |   |

Table III - Statement showing shareholding Pattern of the Public shareholder

|         | Category & Name of the Shareholders                            | PAN  | Nos. of  | Nos. of fully             | Total nos.                | Shareholdi    | Number o           | f Voting      | Shareholding,a    | Number of equity   |
|---------|--|------|--|---------------------------|---------------------------|---------------|--------------------|---------------|-------------------|--------------------|
|         |  |      | sharehol   | paid up equity            | shares held               | ng %          | Rights             |               | s a % assuming    | shares held in     |
|         |  |      | der  | share held                |                           | calculated    |                    |               | full conversion   | dematerialized     |
|         |  |      |  | J                         |                           | as per        |                    |               | of convertible    | form               |
|         |  |      | ]  |                           |                           | SCRR,         |                    |               | Securities ( as a | 101111             |
|         | l l  |      | ]  |                           |                           |               |                    |               |                   | İ                  |
|         |  |      |  |                           |                           | 1957 AS a     |                    |               | percentage of     | Ì                  |
|         |  |      | ]  |                           |                           | % of          | No. of             | Total as a    | diluted share     | Ì                  |
|         | l l  |      | ]  |                           |                           | (A+B+C2)      | Voting             | % of          | Capital)          | İ                  |
|         | l l  |      | ]  |                           |                           |               | Rights             | Total         | ļ                 | İ                  |
|         |  |      |  |                           |                           |               | (Total)            | Voting        | Į.                | Ì                  |
|         |  |      |  |                           |                           |               | (Total,            | rights        |                   | Ì                  |
|         |  |      | <del>                                     </del> | <b></b>                   |                           | <u> </u>      |                    | 116           | (XI) =(VII)+(X)   | l                  |
|         |  |      |  |                           | (VII) = (IV)              |               |                    |               | As a %            | Ì                  |
|         | m en   | m    | /m\  | (0.0                      |                           | 6001          | 1 /10/             |               |                   | (900.0)            |
| (4)     | (1)  | (11) | (III)  | (IV)                      | +(V)+(VI)                 | (VIII)        | (IX                | )             | of(A+B+C2)        | (XIV)              |
| (1)     | Institutions   |      | 22   | 0275020                   | 0275020                   | 10.75         | 0275020            | 10.75         | 10.75             | 0272000            |
| (a)     | Mutual Funds   |      | 32   | 8275029                   | 8275029                   | 10.75         | 8275029            | 10.75         | 10.75             | 8272889            |
|         |  |      | _  |                           |                           |               |                    |               |                   |                    |
|         | RELIANCE CAPITAL TRUSTEE COMPANY LIMITED                       |      | 5  | 4187222                   | 4187222                   | 5.44          | 4187222            | 5.44          | 5.44              | 4187222            |
|         | (Shares held in their various Schemes)                         |      |  |                           |                           |               |                    |               |                   |                    |
|         | L AND T MUTUAL FUND TRUSTEE LTD (Shares held                   |      | 5  | 2257363                   | 2257363                   | 2.93          | 2257363            | 2.93          | 2.93              | 2257363            |
|         | in their various Schemes )                                     |      | ,  | 2237303                   | 2237303                   | 2.55          | 2237303            | 2.55          | 2.55              | 2237303            |
| (b)     | Venture Capital Funds  |      | 0  | 0                         | 0                         | 0.00          | 0                  | 0.00          | 0.00              | 0                  |
| (c)     | Alternate Investment Funds                                     |      | 0  | 0                         | 0                         | 0.00          | 0                  | 0.00          | 0.00              | 0                  |
| (d)     | Foreign Venture Capital Investors                              |      | 0  | 0                         | 0                         | 0.00          | 0                  | 0.00          | 0.00              | 0                  |
| (e)     | Foreign Portfolio Investors                                    |      | 59   | 1932427                   | 1932427                   | 2.51          | 1932427            | 2.51          | 2.51              | 1932427            |
| (f)     | Financial Institutions/ Banks                                  |      | 18   | 27668                     | 27668                     | 0.04          | 27668              | 0.04          | 0.04              | 12368              |
| (g)     | Insurance Companies  |      | 5  | 2972817                   | 2972817                   | 3.86          | 2972817            | 3.86          | 3.86              | 2972317            |
| 107     | LIFE INSURANCE CORPORATION OF INDIA                            |      | 1  | 2708172                   | 2708172                   | 3.52          | 2708172            | 3.52          | 3.52              | 2708172            |
| (h)     | Provident Funds/ Pension Funds                                 |      | 0  | 0                         | 0                         | 0.00          | 0                  | 0.00          | 0.00              | 0                  |
| (i)     | Any Other (Specify)  |      |  |                           |                           |               |                    |               |                   |                    |
|         | Sub-Total (B) (1)  |      | 114  | 13207941                  | 13207941                  | 17.15         | 13207941           | 17.16         | 17.15             | 13190001           |
| (2)     | Central Government/ State Government(s)/<br>President of India |      | 0  | 0                         | 0                         | 0.00          | 0                  | 0.00          | 0.00              | 0                  |
|         | Sub-Total (B) (2)  |      | 0  | 0                         | 0                         | 0.00          | 0                  | 0.00          | 0.00              | 0                  |
| (3)     | Non-institutions   |      |  |                           |                           |               |                    |               |                   |                    |
|         | Individuals -  |      |  |                           |                           |               |                    |               |                   |                    |
|         | i. Individual shareholders holding nominal share               |      |  |                           |                           |               |                    |               | 1                 |                    |
|         | capital up to Rs. 2 lakhs                                      |      | 42154  | 7246307                   | 7246307                   | 9.41          | 7246307            | 9.41          | 9.41              | 6857615            |
|         | ii. Individual shareholders holding nominal share              |      |  |                           |                           |               |                    |               |                   |                    |
|         | capital in excess of Rs. 2 lakhs                               |      | 17   | 1566920                   | 1566920                   | 2.03          | 1566920            | 2.04          | 2.03              | 1566920            |
| (b)     | NBFCs registered with RBI                                      |      | 2  | 10208                     | 10208                     | 0.01          | 10208              | 0.01          | 0.01              | 10208              |
|         | Employee Trusts  |      | 1  | 2500                      | 2500                      | 0.00          |                    | 0.00          | 0.00              | 2500               |
| (d)     | Overseas Depositories (Holding DRs)                            |      | 0  | 0                         | 0                         | 0.00          | 0                  | 0.00          | 0.00              | 0                  |
| (e)     | Any Other (Specify)  |      |  |                           |                           |               |                    |               |                   |                    |
|         | Other Body Corporate   |      | 1085   | 4779426                   | 4779426                   | 6.21          | 4779426            | 6.21          | 6.21              | 4776218            |
|         | Trust, Foundations   |      | 10   | 1209193                   | 1209193                   | 1.57          | 1209193            | 1.57          | 1.57              | 1209193            |
|         | Unclaimed Share Suspense A/c.                                  |      | 1  | 31470                     | 31470                     | 0.04          |                    | 0.00          | 0.04              | 31470              |
|         | Investor Education and Protection Fund                         |      | 1 200  | 163451                    | 163451                    | 0.21          | 163451             | 0.21          | 0.21              | 163451             |
| (e) (v) | Non Resident Individuals Sub-Total (B) (3)                     |      | 999<br><b>44270</b>                              | 353740<br><b>15363215</b> | 353740<br><b>15363215</b> | 0.46<br>19.95 | 353740<br>15331745 | 0.46<br>19.92 | 0.46<br>19.95     | 348836<br>14966411 |
|         | Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)            |      | 44270  | 15363215                  | 15303215                  | 19.95         | 15351745           | 19.92         | 19.95             | 14900411           |
|         |  |      |  |                           |                           |               |                    |               |                   |                    |
|         | Total Fubile Shareholding (b)= (b)(1)*(b)(2)*(b)(3)            |      | 44384  | 28571156                  | 28571156                  | 37.11         | 28539686           | 37.08         | 37.11             | 28156412           |

 $<sup>^{\</sup>ast}\,$  Shares held under one demat A/c. but numbers of beneficiary Shareholders are 106.

Details of the Shareholders acting as persons in Concert including their Shareholding

| Details of the Shareholders ac                                      | ing as persons in Concert including their Sh | arenoiding: |         |
|---|--|-------------|---------|
|   |  | No. of      | Holding |
| Name of Shareholder   | Name of PAC                                  | Shares      | %       |
| RELIANCE CAPITAL TRUSTEE CO LTD.A/C RELIANCE MULTI CAP FUND         | Reliance Capital Trustee Co Ltd & Reliance   | 1420420     | 1.84    |
|   | Nippon Life Asset Management Ltd             |             |         |
| RELIANCE CAPITAL TRUSTEE CO. LTD A/C RELIANCE TAX SAVER (ELSS) FUND | 1  | 1406036     | 1.83    |
|   |  |             |         |
| RELIANCE CAPITAL TRUSTEE CO. LTD-A/C RELIANCE SMALL CAP FUND        | 1  | 1190782     | 1.55    |
|   |  |             |         |
| RELIANCE EMERGENT INDIA FUND  |  | 97100       | 0.13    |
|   |  |             |         |
| RELIANCE CAPITAL TRUSTEE CO LTD.A/C RELIANCE POWER & INFRA FUND     | 1  | 72884       | 0.09    |
|   | Total:                                       | 4187222     | 5.44    |
|   | TOTAL:                                       | 410/222     | 5.44    |

 ${\bf Table\ IV-Statement\ showing\ shareholding\ pattern\ of\ the\ Non\ Promoter-Non\ Public\ Shares-NIL}$